

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Darrell J. King	Examiner:	Edward H. Tso
Serial No.:	10/717,309	Group Art Unit:	2838
Filed:	November 19, 2003	Docket:	TI01.701US
Title:	SYSTEM AND METHOD FOR BALANCING STATE OF CHARGE AMONG SERIES-CONNECTED ELECTRICAL ENERGY STORAGE UNITS		

INFORMATION DISCLOSURE STATEMENT

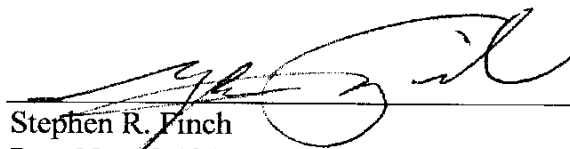
In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 *et. seq.*, the referenced materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicants respectfully request that this Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicants request that a copy of the 1449 form, initialed as being considered by the Examiner, be returned to the Applicants with the next official communication.

Pursuant to 37 C.F.R. §1.97(b), it is believed that no fee or statement is required with the Information Disclosure Statement.

Darrell J. King
By his Representatives,
Finch & Nguyen PLLC

Date November 20, 2006

By



Stephen R. Finch
Reg. No. 42,534

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>					
				<i>Complete if Known</i>	
				Application Number	10/717,309
				Filing Date	November 19, 2003
				First Named Inventor	Darrell J. King
				Art Unit	2838
				Examiner Name	Edward H. Tso
Sheet	1	of	1	Attorney Docket No: TI01.701US	

US PATENT DOCUMENTS					
Examiner Initial *	Cite No	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Filing Date If Appropriate

FOREIGN PATENT DOCUMENTS				
Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	T ²

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	1	Pop, V., et al., "State-of-the-art of battery of state-of-charge determination, Published October 31, 2005; Meas. Sci. Technol. 16(2005) R93-R110	

EXAMINER

DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional) ² Applicant is to place a check mark here if English language Translation is attached